

11/23/98



JC549 U. S. PTO

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Date: 23 Nov 1998

PATENT

Paper No. 1

File No. NewMrktP98-1

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09/197908

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventors	:	Anthony F. Herbst and Wayne F. Perg
Serial No.	:	
Filed	:	November 23, 1998
For	:	DIGITAL COMPUTER SYSTEM AND METHODS FOR MANAGING AN AUCTION MARKET FOR PREFERRED-RETURN SECURITIES
Group Art Unit	:	
Examiner	:	

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The Commissioner of Patents and Trademarks  
 Washington, D.C. 20231

TRANSMITTAL LETTER

SIR:

Transmitted herewith for filing in the above-identified patent application is  
 the following:

1. Above-referenced patent application having 33 pp. of specification, including claims and an abstract, plus Fig.s 1-3F (11 sheets);
2. Combined Declaration and Power of Attorney;
3. Verified Statement Claiming Small Entity Status;
4. Assignment and PTO Assignment Cover Sheet;
5. Check for fees: \$796 (basic filing fee of \$395 + fee for 3 independent claim in excess of 3 X \$41 + fee for \$143 claims in excess of 20 at \$11 per claim, plus \$135 for a multiple dependent claim; and
6. Check for fees: \$40 for an assignment recordation fee.

The Commissioner is hereby authorized to charge any fees associated with  
 the above-identified patent application or credit any overcharges to Deposit Account No.  
 5Q-0235.

PETER K. TRZYNA  
 P. O. Box 7131  
 Chicago, IL 60680-7131  
 (312) 240-0824

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,



Peter K. Trzyna  
(Reg. No. 32,601)

Date: 23 Nov 1998

P.O. Box 7131  
Chicago, Illinois 60680-7131

(312) 240-0824

SIXTY-THREE EDITION